

# MAIN APPLICATION FORM

**OPTIMIX**  
MULTI MANAGER EQUITY FUND  
An Open Ended Diversified Equity Scheme



App. No. C

Existing Folio No. [If any]

Please read the instructions before completing this Application Form and fill the sections in CAPITALS

## DISTRIBUTOR INFORMATION [only for AMFI registered empanelled Distributors/Brokers]

Distributor's/Broker Code <b>ARN-97821</b>	Sub-Broker's Code	Registrars/Bank Serial No.
---	-------------------	----------------------------

## 1 APPLICANT'S PERSONAL DETAILS

Refer Instruction 1

### SOLE/FIRST APPLICANT

TITLE	FIRST NAME	MIDDLE NAME	LAST NAME
Date of Birth	DD	MM	YYYY
PAN (mandatory if purchase is Rs. 50,000/- or more) Provide a copy of proof. [Refer instructions 1(i)]			
Guardian (in case Sole/First Applicant is minor) or Contact person (For Institutional Applications) or POA holder.			
FIRST NAME			
MIDDLE NAME			
LAST NAME			
POA Holders MIN			
Address for Correspondence [In India]			
Overseas Address (mandatory in case of NRI/FII)			
City	Pin	City	ZIP/Postal Code
State		Country	
Tel. (O)	STD/ISD	Tel. (R)	STD/ISD
Status of SOLE/FIRST APPLICANT (Please ✓)			
<input type="checkbox"/> Resident Individual <input type="checkbox"/> On behalf of minor <input type="checkbox"/> HUF <input type="checkbox"/> Company <input type="checkbox"/> AOP/BOI <input type="checkbox"/> Partnership Firm <input type="checkbox"/> Body Corporate <input type="checkbox"/> Trust <input type="checkbox"/> Registered Society <input type="checkbox"/> Others <input type="checkbox"/> NRI - Non Repatriable <input type="checkbox"/> NRI - Repatriable (NRE) <input type="checkbox"/> Bank/Financial Institution <input type="checkbox"/> Sole Proprietorship			
Nationality of SOLE/FIRST APPLICANT			
Occupation of SOLE/FIRST APPLICANT (Please ✓)			
<input type="checkbox"/> Politician <input type="checkbox"/> PSU/Government Employee <input type="checkbox"/> Indian Private Company Employee <input type="checkbox"/> Agriculture / Fishery <input type="checkbox"/> Jeweller <input type="checkbox"/> Bureaucrat <input type="checkbox"/> Military Official <input type="checkbox"/> Doctor <input type="checkbox"/> Information Technology <input type="checkbox"/> Student <input type="checkbox"/> Money Service Bureau <input type="checkbox"/> Relative / Associate / Advisor of above <input type="checkbox"/> Lawyer <input type="checkbox"/> Telecommunication <input type="checkbox"/> Retired <input type="checkbox"/> Dealers in High Value Commodities (Arms, Bullion, Jewellery, etc.) <input type="checkbox"/> Scientist <input type="checkbox"/> Teacher <input type="checkbox"/> Banking / Financial Institution <input type="checkbox"/> Other Business <input type="checkbox"/> More than 12 Lakhs <input type="checkbox"/> Housewife <input type="checkbox"/> Other Service <input type="checkbox"/> Other Professional			
Annual Income of SOLE/FIRST APPLICANT (Please ✓)			
<input type="checkbox"/> Less than 2 Lakhs <input type="checkbox"/> 2-4 Lakhs <input type="checkbox"/> 4-7 Lakhs <input type="checkbox"/> 8-12 Lakhs <input type="checkbox"/> More than 12 Lakhs			

## 2 JOINT APPLICANTS' DETAILS

Refer Instruction 2

### SECOND APPLICANT [Joint Holder#1]

FIRST NAME	MIDDLE NAME	LAST NAME	MIN
Date of Birth	DD	MM	YYYY
PAN			
Please provide a copy of proof			

### THIRD APPLICANT [Joint Holder#2]

FIRST NAME	MIDDLE NAME	LAST NAME	MIN
Date of Birth	DD	MM	YYYY
PAN			
Please provide a copy of proof			

MODE OF HOLDING (Please ✓)   ☐ Single   ☐ Anyone or Survivor   ☐ Joint   (Default option will be Joint)

**OPTIMIX**  
MULTI MANAGER EQUITY FUND  
An Open Ended Diversified Equity Scheme

## ACKNOWLEDGMENT SLIP

App. No. C

To be filled by the applicant

Growth Option	Amount	Cheque/DD No.
OR		
Dividend Option(Payout)	Date	Bank & Branch Details
OR		
Dividend Option(Reinvestment)		

Received from

\* SIP is available for investors on the commencement of ongoing sale.

Official Collection Centre  
Acceptance Point  
[Stamp & Signature]

3	INVESTMENT DETAILS [Please ✓ choice of Option]	Refer Instruction 3
	<div style="display: flex; justify-content: space-between;"> <div> <b>Growth Option</b>  <input type="checkbox"/> OR <input type="checkbox"/> <b>Dividend Option</b>  <b>Payout</b> <input type="checkbox"/> OR <input type="checkbox"/> <b>Reinvestment</b> </div> <div> <b>Investment Amount</b> <span style="border: 1px solid black; padding: 2px;">Min. Amount Rs. 5000/-</span> </div> <div> <b>Cheque/DD No.</b> <span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span> </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> <input type="checkbox"/> <b>Mode of Payment</b> <input type="checkbox"/> Cheque <input type="checkbox"/> DD         </div> <div> <b>DD Charges</b> <span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span> </div> <div> <b>Dated</b> <span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span> </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> <b>Net Amount</b> <span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span> </div> <div> <b>Drawn on</b> <span style="border: 1px solid black; padding: 2px;">Bank and Branch</span> </div> </div> <div style="margin-top: 5px;"> <b>Account Type</b> [Please ✓] <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Other         </div>	
<p><small>Cheques / Demand Drafts should be crossed "A/c Payee only" and drawn in favour of "OptiMix Multi-Manager Equity Fund".</small></p> <p><small>I/We undertake that the detail of the payment instrument mentioned above pertain to my/our own bank account in my/our name and is not a third party cheque except guardian incase of minor. The AMC reserves the right to reject the application in case of third party cheque.</small></p>		
4	BANK ACCOUNT DETAILS [Mandatory for existing and new applicants as per SEBI guidelines]	Refer Instruction 4
	<div style="display: flex; justify-content: space-between;"> <div> <b>Bank Name</b> <span style="border: 1px solid black; display: inline-block; width: 200px; height: 15px;"></span> </div> <div> <b>Account No.</b> <span style="border: 1px solid black; display: inline-block; width: 150px; height: 15px;"></span> </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> <b>Branch Name &amp; Address</b> <span style="border: 1px solid black; display: inline-block; width: 250px; height: 15px;"></span> </div> <div> <b>City</b> <span style="border: 1px solid black; padding: 2px;">CLEARING CIRCLE</span> </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> <b>MICR Code</b> <span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span> </div> <div> <b>IFSC Code for NEFT</b> <span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span> </div> </div> <p style="font-size: small; margin-top: 5px;"> <small>(This is a 9 Digit Number next to your Cheque Number)</small>      <small>(This is a 11 Digit Number, obtain from your bank branch)</small> </p> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> <b>Account type</b> (Please ✓) <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others         </div> </div> <p style="font-size: small; margin-top: 5px;"> <b>Pay my dividends electronically if available (provide a copy of cancel cheque leaf from an ECS eligible bank) (Please ✓)</b> <input type="checkbox"/> </p> <p style="font-size: x-small; margin-top: 5px;"> <small>Note : IIM (India) OptiMix division, ING Vysya Mutual Fund reserves the right to use any other mode of payment as deemed appropriate.</small>  <small>I/We understand that IIM (India) OptiMix division, ING Vysya Mutual Fund shall not be responsible if transaction through ECS / EFT / NEFT could not be carried out because of incomplete or incorrect information.</small> </p>	
5	NOMINATION DETAILS	Refer Instruction 5
	<p>I/We do hereby nominate the undermentioned Nominee to receive the Units allotted to my/our credit on my/our death. I/We also understand that all payments and settlements made to such Nominee shall be a valid discharge by AMC/Mutual Fund/Trustee.</p> <p><b>Name and Address of Nominee</b></p> <p>Name <span style="border: 1px solid black; display: inline-block; width: 200px; height: 15px;"></span> Address <span style="border: 1px solid black; display: inline-block; width: 200px; height: 15px;"></span></p> <p>Date of Birth <span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span></p> <p>*Name of the Guardian: <span style="border: 1px solid black; display: inline-block; width: 200px; height: 15px;"></span> Address of the Guardian: <span style="border: 1px solid black; display: inline-block; width: 200px; height: 15px;"></span></p> <p>Relationship with the Minor: <span style="border: 1px solid black; display: inline-block; width: 200px; height: 15px;"></span> Signature of the Guardian: <span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span></p>	
6	SERVICES	Refer Instruction 6
	<p>I/We wish to receive the following documents via email in lieu of physical document. [Please ✓]</p> <div style="display: flex; justify-content: space-between; align-items: flex-start;"> <div> <input type="checkbox"/> Account Statement    <input type="checkbox"/> All other statutory communications    <input type="checkbox"/> Marketing updates         </div> <div> <b>Please mention your email id</b> <span style="border: 1px solid black; display: inline-block; width: 150px; height: 15px;"></span> </div> </div>	
7	DOCUMENTS ENCLOSED ( Please ✓ )	Refer Instruction 7
	<div style="display: flex; flex-wrap: wrap; padding: 5px;"> <div style="width: 33%;"><input type="checkbox"/> Memorandum &amp; Articles of Association</div> <div style="width: 33%;"><input type="checkbox"/> Resolution / Authorisation to Invest</div> <div style="width: 33%;"><input type="checkbox"/> Cheque / Demand Draft</div> <div style="width: 33%;"><input type="checkbox"/> PAN Copy</div> <div style="width: 33%;"><input type="checkbox"/> Form 60 / 61</div> <div style="width: 33%;"><input type="checkbox"/> Trust Deed</div> <div style="width: 33%;"><input type="checkbox"/> Bye-Laws</div> <div style="width: 33%;"><input type="checkbox"/> Partnership Deed</div> <div style="width: 33%;"><input type="checkbox"/> List of Authorised Signatures with Specimen Signature(s)</div> <div style="width: 33%;"><input type="checkbox"/> Power of Attorney</div> <div style="width: 33%;"><input type="checkbox"/> MIN Allotment Letter copy</div> </div>	
8	DECLARATION AND SIGNATURES	Refer Instruction 8
	<p>I/We have read and understood the contents of the offer document and I/We hereby apply to the trustee of ING Vysya Mutual Fund for units of "OptiMix Multi-Manager Equity Fund", as indicated above and agree to abide by the terms, conditions, rules and regulations of the relevant scheme.</p> <p>I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment.</p> <p>I/We hereby declare that I/We am/are authorised to make this investment in the above mentioned Scheme and that the amount invested in Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention and evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India.</p> <p><b>Applications other than Individuals/HUF:</b></p> <p>I/We certify that as per the Memorandum and Articles of Association of the Company, By laws, Trust Deed or Partnership Deed and resolutions passed by the Company/Firm/Trust. I/We authorise to enter into this transactions for and on behalf of the Company/Firm/Trust.</p> <p><b>Applicable to NRIs only:</b></p> <p>I/We confirm that I am/we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for the subscriptions have been remitted from abroad through approved banking channels or from my/our Non Resident External/Ordinary account/FCNR/NRNR Account.</p> <div style="display: flex; justify-content: space-between; align-items: flex-start; margin-top: 10px;"> <div> <p>Please ✓ <input type="checkbox"/> Yes <input type="checkbox"/> No    If Yes ✓ <input type="checkbox"/> Repatriation basis <input type="checkbox"/> Non-repatriation basis</p> </div> <div> <p><b>Date</b> <span style="border: 1px solid black; padding: 2px;">D D M M Y Y Y Y</span></p> </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div> <p><b>SIGNATURES</b></p> <div style="display: flex; justify-content: space-between; width: 100%;"> <div style="width: 30%;"> <p>First/Sole Applicant <span style="border: 1px solid black; display: inline-block; width: 150px; height: 40px; position: relative; top: -10px; left: 10px;">X</span></p> </div> <div style="width: 30%;"> <p>Second Applicant <span style="border: 1px solid black; display: inline-block; width: 150px; height: 40px; position: relative; top: -10px; left: 10px;">X</span></p> </div> <div style="width: 30%;"> <p>Third Applicant <span style="border: 1px solid black; display: inline-block; width: 150px; height: 40px; position: relative; top: -10px; left: 10px;">X</span></p> </div> </div> </div> </div>	

**CHECK LIST :**

Please ensure that:

- MIN & PAN of all Applicants is mentioned and copy of MIN allotment letter & PAN card copy are enclosed, if the investment amount is Rs. 50000/- or more.
- In case any person does not have a PAN, he should submit Form No. 60/61 alongwith address proof (such as bank name, branch name, mentioned account type).
- Bank details are mentioned completely.
- Application Form is signed by all applicants.
- Cheques / Demand Drafts are drawn in favour of "OptiMix Multi-Manager Equity Fund" dated and signed.